

DAG 09/23/2016

**Agenda Item:** IPEDS Graduation Rate Survey changes, Pell & Stafford Loans

**Topic/Issue/Question:** How best to report the New IPEDS section on the Graduation Rate Survey. IPEDS wants a graduation rate for Pell receiving and Stafford Loan receiving students. When pulling pell and Stafford data from SURDS FA should FAFSA Flag be required along with <> 0 in Pell and Stafford columns on FA file?

**Department Recommendation:**



IPEDS Help Desk  
(877) 225-2568 or [ipedshelp@rti.org](mailto:ipedshelp@rti.org)

NCES National Center for Education Statistics  
2016-17 Survey Materials > Form

date: 8/25/2016

### Graduation Rates for 4-year institutions reporting on a fall cohort (academic reporters)

#### Overview

#### Graduation Rates Overview

Welcome to the IPEDS Graduation Rates (GR) survey component. The GR component collects data on the cohort of full-time, first-time degree/certificate-seeking undergraduate students and tracks their completion status at 150% of the normal time to complete all requirements of their program of study. This information is collected to assist institutions in complying with the requirements of the Student Right-to-Know Act.

#### Data Reporting Reminders

Once a student is in the cohort, they remain in the cohort, even if their status changes to part-time or they drop out or transfer out of the institution. However, adjustments can be made to the cohort for allowable exclusions, which include the death of a student, permanent disability, military deployment, or service on an official church mission or with a foreign aid service of the Federal government.

When reporting award levels for sub-baccalaureate certificates, determine program length by the number of credit or contact hours, NOT the academic year length in parentheses. The academic year length is meant only to provide context.

#### Changes to This Year's Graduation Rates Component:

- IPEDS will collect the HEA, as amended, required graduation rate for Pell recipients and recipients of a subsidized Stafford Loan who did not receive a Pell Grant.

#### Resources:

The survey materials package for this component can be downloaded using the following link: [Survey Materials](#)

If you have questions about completing this survey, please contact the IPEDS Help Desk at 1-877-225-2568.

Section II - Bachelor's or equivalent degree-seeking cohort - Pell recipients and recipients of a subsidized Stafford Loan who did not receive a Pell Grant

For each subcohort, report the number of students in the cohort, total exclusions for the cohort, and the number of students that completed a bachelor's or equivalent degree within 150% of normal time to completion.

•Recipients of a Pell Grant and Recipients of a Subsidized Stafford Loan that did not receive a Pell Grant are **mutually exclusive**, that is, if a student is in one cohort, they cannot be in the other cohort.

- The total of these 2 subcohorts must be less than the Bachelor's or equivalent degree-seeking cohort

**Subcohort of full-time, first-time students seeking a bachelor's or equivalent degree**

Screen 4 of 4	Cohort year 2010		
	Number of students in cohort	Total exclusions	Number of students that completed a bachelor's or equivalent degree within 150% of normal time to completion
	(Column 10)	(Column 45)	(Column 29)
<b>Bachelor's or equivalent degree-seeking cohort</b>			
Recipients of a Pell Grant	<input type="text"/>	<input type="text"/>	<input type="text"/>
Recipients of a Subsidized Stafford Loan that did not receive a Pell Grant	<input type="text"/>	<input type="text"/>	<input type="text"/>
Did not receive either a Pell Grant or Subsidized Stafford Loan			

Section III - Other degree/certificate-seeking subcohort - Pell recipients and recipients of a subsidized Stafford Loan who did not receive a Pell Grant

For each subcohort, report the number of students in the cohort, total exclusions for the cohort, and the number of students that completed a certificate or degree within 150% of normal time to completion.

•Recipients of a Pell Grant and Recipients of a Subsidized Stafford Loan that did not receive a Pell Grant are **mutually exclusive**, that is, if a student is in one cohort, they cannot be in the other cohort.

- The total of these 2 subcohorts must be less than the other degree/certificate-seeking cohort

**Subcohort of full-time, first-time students seeking other than a bachelor's degree**

Screen 3 of 3	Cohort year 2010		
	Number of students in cohort	Total exclusions	Number of students that completed a certificate or degree within 150% of normal time to completion
	(Column 10)	(Column 45)	(Column 29)
<b>Other degree/certificate-seeking cohort</b>			
Recipients of a Pell Grant	<input type="text"/>	<input type="text"/>	<input type="text"/>
Recipients of a Subsidized Stafford Loan that did not receive a Pell Grant	<input type="text"/>	<input type="text"/>	<input type="text"/>
Did not receive either a Pell Grant or Subsidized Stafford Loan			

**Section II – Bachelor’s or equivalent degree-seeking cohort - Graduation Rate for Pell recipients and recipients of a subsidized Stafford Loan who did not receive a Pell Grant**  
**Report each student only once.**

Report the status of the 2010 subcohort of bachelor’s or equivalent degree-seeking students as of 150% of normal time to completion or August 31, 2016 (whichever was earlier) in terms of the number of completers.

**Report only for full-time, first-time students who were seeking a bachelor’s or equivalent degree upon entry.** Do NOT include part-time students, students who transferred into your institution, or students who changed their program of study to a bachelor’s level degree after their first semester.

**SPECIAL NOTE:** In order to resolve a potential conflict between transfers-out and the mission of particular programs to prepare students for transfer to other institutions, institutions may count as completers those students who have successfully completed a transfer-preparatory program.

Transfer-preparatory program is defined in 34 CFR Student Assistance General Provisions, Section 668.8(b)(1)(ii) as “the successful completion of at least a 2-year program that is acceptable for full credit toward a bachelor’s degree and qualifies a student for admission into the third year of a bachelor’s degree program.” The Secretary considers this the equivalent of an associate’s degree, thus completers of transfer-preparatory programs (although they do not receive a “formal award”) should be counted as completers of an at least 2 but less than 4 year program.

Similarly, institutions may count as completers those students who have successfully completed the first three years of a 3-2 program and are eligible to enter another institution to complete the program (provided the program is acceptable for full credit towards a five-year bachelor’s degree, and qualifies a student for admission into the fourth year of a five-year bachelor’s degree program). As with a transfer-preparatory program, these students should be counted as completers of an at least 2 but less than 4 year program.

In order to calculate a graduation rate that complies with Student Right-to-Know regulations, institutions may count as completers only those students who received their degree/certificate (or completed a transfer-preparatory program) within 150% of the normal time for program completion (normal time to completion is the amount of time necessary to complete all requirements for a program according to the institution’s catalog). **Do not count as completers students who receive their degree from another institution.**

For each of two different subcohorts - Bachelor’s or equivalent degree-seeking students and Other degree/certificate-seeking students, data will be collected on those students who were recipients of a Pell Grant and those students who were recipients of a subsidized Stafford Loan that did not receive a Pell Grant.

Recipients are defined as those students receiving and using their Pell Grant or Subsidized Stafford loan. These students must receive the award upon entry into the institution. If the student is a recipient of an award at a later point after entry, they would not be included in one of the subcohorts.

**Number of students in the cohort** - The number of students in the bachelor’s or equivalent degree-seeking subcohort will be preloaded from Section 1 of the GR form. Of those students, report the number of students who received a Pell Grant and the number of students who received a subsidized Stafford Loan but DID NOT receive a Pell Grant. These two categories will be mutually exclusive. The total of these two subcohorts must be less than or equal to the total of all students.

**Total exclusions** - The number of exclusions in the bachelor’s or equivalent degree-seeking subcohort will be preloaded from Section II of the GR form. Of those students reported as exclusions, report the number of students who received a Pell Grant and the number of students who received a subsidized Stafford Loan but DID NOT receive a Pell Grant. These two categories will be mutually exclusive. The total of these two subcohorts must be less than or equal to the total of all students.

**Completers of bachelor’s or equivalent degrees** - The number of students in the bachelor’s or equivalent degree-seeking subcohort who completed a bachelor’s degree or equivalent program within 150% of normal time to completion will be preloaded from Section II of the GR form. Of those students reported as completers, report the number of students who received a Pell Grant and the number of students who received a subsidized Stafford Loan but DID NOT receive a Pell Grant. These two categories will be mutually exclusive. The total of these two subcohorts must be less than or equal to the total of all students.

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**Section III – Completers within 150% - Other Degree/Certificate-Seeking Subcohort**  
**Report each student only once.**

### Section III – Other Degree/Certificate-Seeking Subcohort - Pell recipients and recipients of a subsidized Stafford Loan who did not receive a Pell Grant

Report each student only once.

Report the status of the 2010 subcohort of Other degree/certificate-seeking students as of 150% of normal time to completion or August 31, 2016 (whichever was earlier) in terms of the number of completers.

Report only for full-time, first-time students who were seeking Other degree/certificate. Do NOT include part-time students, students who transferred into your institution.

**SPECIAL NOTE:** In order to resolve a potential conflict between transfers-out and the mission of particular programs to prepare students for transfer to other institutions, institutions may count as completers those students who have successfully completed a transfer-preparatory program.

Transfer-preparatory program is defined in 34 CFR Student Assistance General Provisions, Section 668.8(b)(1)(ii) as "the successful completion of at least a 2-year program that is acceptable for full credit toward a bachelor's degree and qualifies a student for admission into the third year of a bachelor's degree program." The Secretary considers this the equivalent of an associate's degree, thus completers of transfer-preparatory programs (although they do not receive a "formal award") should be counted as completers of an at least 2 but less than 4 year program.

Similarly, institutions may count as completers those students who have successfully completed the first three years of a 3-2 program and are eligible to enter another institution to complete the program (provided the program is acceptable for full credit towards a five-year bachelor's degree, and qualifies a student for admission into the fourth year of a five-year bachelor's degree program). As with a transfer-preparatory program, these students should be counted as completers of an at least 2 but less than 4 year program.

In order to calculate a graduation rate that complies with Student Right-to-Know regulations, institutions may count as completers only those students who received their degree/certificate (or completed a transfer-preparatory program) within 150% of the normal time for program completion (normal time to completion is the amount of time necessary to complete all requirements for a program according to the institution's catalog). **Do not count as completers students who receive their degree from another institution.**

For each of two different subcohorts - Bachelor's or equivalent degree-seeking students and Other degree/certificate-seeking students, data will be collected on those students who were recipients of a Pell Grant and those students who were recipients of a subsidized Stafford Loan that did not receive a Pell Grant.

Recipients are defined as those students receiving and using their Pell Grant or Subsidized Stafford loan. These students must receive the award upon entry into the institution. If the student is a recipient of an award at a later point after entry, they would not be included in one of the subcohorts.

**Number of students in the cohort** - The number of students in the Other degree/certificate-seeking subcohort will be preloaded from Section 1 of the GR form. Of those students, report the number of students who received a Pell Grant and the number of students who received a subsidized Stafford Loan but DID NOT receive a Pell Grant. These two categories will be mutually exclusive. The total of these two subcohorts must be less than or equal to the total of all students.

**Total exclusions** - The number of exclusions in the Other degree/certificate-seeking subcohort will be preloaded from Section III of the GR form. Of those students reported as exclusions, report the number of students who received a Pell Grant and the number of students who received a subsidized Stafford Loan but DID NOT receive a Pell Grant. These two categories will be mutually exclusive. The total of these two subcohorts must be less than or equal to the total of all students.

**Completers of Other degree/certificate** - The number of students in the Other degree/certificate-seeking subcohort who completed a Other degree/certificate program within 150% of normal time to completion will be preloaded from Section III of the GR form. Of those students reported as completers, report the number of students who received a Pell Grant and the number of students who received a subsidized Stafford Loan but DID NOT receive a Pell Grant. These two categories will be mutually exclusive. The total of these two subcohorts must be less than or equal to the total of all students.

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### Calculation of Graduation and Transfer-out Rates

18	56	Federal PELL	Definition: The dollar amount of a Federal Pell Grant paid to a student.
			Codes/Notes: None
			Missing Value: Zeros if not applicable
			Edit Specs: Year 2012 Pell limit = \$5,550 (up to \$8,325, multi Pell grants in one year) Error if blank Error if value < 0 or > annual limit determined by U.S. Department of Education. Error if Pell > 0 (00000) and recipient is non resident alien (ethnicity 1) Error if Pell > 0 (00000) and graduate student (student level > 20)
			Format: AlphaNumeric, 5 place integer Example: \$1,000 Submit as: 01000 Example: 50 Submit as: 00000
			Updates: FY2011-\$10,800; FY2010 -\$10,800;

35 142 Federal Stafford Definition: Gross amount of Federal Stafford Subsidized loans (both FFELP and Direct Loans) paid.  
Loans  
Subsidized

**Codes/Notes:**  
Include the gross amount of the loan, not the amount disbursed after fees are deducted. Report loan in the year for which it was awarded, regardless of the year in which it was actually disbursed.

**Missing Value:** Zero if not applicable.

**Edit Specs:** Error if blank Error if value exceeds current specified loan limits. Error if Federal Stafford Subsidized loan > 0 and ethnicity = 1 (non-resident alien) Error if federal Stafford Unsubsidized loan > Stafford graduate limit and Student level > 20  
Freshman Limit = 3,500 (student level 11) Sophomore Limit = 4,500 (student level 12) Junior/Senior limit = 5,500 (student level 13 or 14) Graduate limit = 8,500 (studentlevel > 20)

**Format:** AlphaNumeric, 5 place integer Example: \$1,000 Submit as: 01000 Example: \$0 Submit as: 00000

**Updates:** February, 2004; July 2007 - Updated limits;

47 196 FAFSA Flag

**Definition:** Flag to indicate that a student submitted the Free Application for Federal Student Aid (FAFSA).

**Codes/Notes:** If a student submitted the Free Application for Federal Student Aid (FAFSA) and has submitted an official EFC to the institution, report as '1'. Otherwise, report as '2'.  
Reporting of this field required, effective in fiscal year 2001.

**Missing Value:** None; field must be completed.

**Edit Specs:** Error if not a valid code Error if blank Error if student has: Colorado Student Grant or Colorado Graduate Need-Based Grant or Pell or SEOG or Stafford or Colorado Work Study Need-Based and FAFSA <> 1

**Format:** Numeric, 1 place integer

**Updates:** February, 2004